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Yuanta FHC & Securities, Banking Subsidiaries Again Recognized with High Distinction for Corporate Governance

Yuanta Financial Holding Company (FHC) has long been committed to meeting the highest standards of corporate governance in the financial industry, implementing the management spirit and corporate culture of integrity management, and these related acts have been recognized by third-party ratings institutions. Yuanta FHC and its subsidiaries, Yuanta Securities and Yuanta Bank, continue to be awarded the Certificate with High Distinction in Taiwan Corporate Governance Association's CG6013 (2021) Corporate Governance Framework Assessment, demonstrating excellence in corporate governance achievements.

The Taiwan Corporate Governance Association has launched the "Corporate Government Framework Assessment" since 2005, and is the only agency whose professional assessment of corporate governance is conducted by an independent third party. The assessment indicators cover six framework pillars, including "Protection of shareholders' rights and equal treatment", "Respect for stakeholders' rights", "Information transparency and disclosure", "Performance of duties of board of directors", "Sustainable development and governance", and "Corporate governance culture".

Yuanta FHC and the subsidiaries received high praise from the assessment panel in all six pillars, including the provision of a well-established platform and mechanism for stakeholder communication, excellent information disclosure and transparency, and a professional board of directors with a comprehensive division

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of labor along with a clear delineation of issues to be guided and supervised by each functional committee. The panel also commented, it is quite commendable that Yuanta has dedicated great efforts towards ESG (environmental, social, and corporate governance) practices to achieve sustainable development and has been highly recognized by various sectors.

As an international sustainability benchmark, Yuanta FHC practices its core philosophy of “establishing corporate governance best practices and looking after the best interests of shareholders” and has proactively responded to the “Corporate Governance 3.0—Sustainable Development Roadmap” of Taiwan’s Financial Supervisory Commission in strengthening the functions and the effectiveness of the board of directors with the establishment of a female board director to achieve diverse representation on the board, as well as in enhancing communication with stakeholders, aligning with international standards, and deepening the culture of corporate sustainability and governance.

In other ratings in Taiwan, Yuanta FHC has received top 5% ranking in the Corporate Governance Evaluation of Taiwan Stock Exchange Corporation (TWSE) for six times and was ranked in the top ten percent (10%) of listed companies in the financial and insurance category last year. On the global side, the company has been selected as Dow Jones Sustainability Index (DJSI) components and included on both DJSI World and DJSI Emerging Markets for four years. Yuanta FHC has made ESG an integral part of the corporate culture and business strategies and has consolidated the resources from within and outside of the group for continual improvement and innovations, actively promoting a better world for future generations.

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